



LOYOLA COLLEGE (AUTONOMOUS), CHENNAI – 600 034

U.G. DEGREE EXAMINATION – ALLIED OPTIONAL

FOURTH SEMESTER – APRIL 2022

UCO 4403 – STOCK MARKET OPERATIONS

Date: 27-06-2022

Dept. No.

Max. : 100 Marks

Time: 09:00 AM - 12:00 NOON

PART – A

Answer ALL the Questions:

(10 X 2 = 20 Marks)

1. Mention any two objectives of SEBI.
2. What is a primary market?
3. Write a short note on Risk.
4. What do you mean by secondary market?
5. What is a Stock Exchange?
6. What do you mean by underwriting?
7. What is Depository?
8. Write a short note on listing of securities.
9. What do you mean by indices?
10. What is IPO?

PART – B

Answer any FOUR questions:

(4 x 10 = 40 Marks)

11. Explain the SEBI guidelines for public issue of securities.
12. Describe the role of FII's in Indian stock markets.
13. Explain the procedure and requirement for listing the securities in stock exchanges.
14. State the structure and powers of SEBI.
15. What is return? Explain the methods to measure the stock market return.
16. Explain the importance of stock market in the economic development of the country.
17. What is Depository participant? Explain its need in India.

PART – C

Answer any TWO questions:

(2 x 20 = 40 Marks)

18. Explain the guidelines and functions of SEBI in the secondary market.
19. State the functions of the primary market and secondary market.
20. Critically evaluate the advantages and disadvantages of online trading with examples.
21. Explain the types of risk and describe methods to measure of individual stock risk.
